

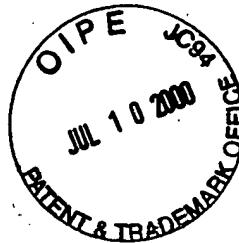
FORMALITIES LETTER


OC00000005103474

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/518,722	03/03/2000	Robert W Cook	1744.0550001/MQL/JTH

Sterne Kessler Goldstein & Fox P L L C
Attorney at Law
Suite 600
1100 New York Avenue N W
Washington, DC 20005-3934



Date Mailed: 05/09/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$906.
 - \$594 for 33 total claims over 20.
 - \$312 for 4 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1726.**

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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690.00	594.00	312.00	130.00

37/12/2000 GTEFFERA 00000108 09518722

FC:101	FC:103	FC:102	FC:105
FC:102	FC:103	FC:102	FC:105

sec/ox
SB

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

ATTORNEYS AT LAW

1100 NEW YORK AVENUE, N.W., SUITE 600
WASHINGTON, D.C. 20005-3934

www.skgf.com

PHONE: (202) 371-2600 FACSIMILE: (202) 371-2540

ROBERT GREENE STERNE
EDWARD J. KESSLER
JORGE A. GOLDSTEIN
SAMUEL L. FOX
DAVID K.S. CORNWELL
ROBERT W. EDMOND
TRACY-GENE G. DURKIN
MICHELE A. CIMBALA
MICHAEL B. RAY
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SUZANNE E. ZISKA**
BRIAN J. DEL BUONO**
ANDREA J. KAMAGE**
NANCY J. LEITH**
TARJA H. NAUKKARINEN**

*BAR OTHER THAN D.C.
**REGISTERED PATENT AGENTS

July 10, 2000

WRITER'S DIRECT NUMBER:
(202) 371-2674
INTERNET ADDRESS:
mlee@skgf.com

Commissioner for Patents
Washington, D.C. 20231



Box Missing Parts

Re: U.S. Utility Patent Application
Appl. No. 09/518,722; Filed: March 3, 2000
For: Security Access Based on Facial Features
Inventors: Cook *et al.*
Our Ref: 1744.0550001

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated May 9, 2000, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. PTO Fee Transmittal Form PTO/SB/17 (*in duplicate*);
2. Copy of the Notice to File Missing Parts;
3. An original, executed Combined Declaration and Power of Attorney;
4. An original, executed Certificate under 37 C.F.R. § 3.73(b) with copy of Assignment attached;
5. An original, executed Power of Attorney from Assignee;

Commissioner for Patents

July 10, 2000

Page 2

6. Our Check No. 28055 for \$1,726.00 to cover:

\$ 690.00 Filing Fee for Patent Application (37 C.F.R. § 1.16),
\$ 906.00 Fee for excess claims (37 C.F.R. § 1.16), and
\$ 130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16); and

7. One return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

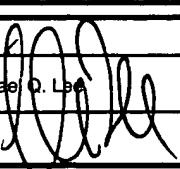
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michael Q. Lee
Attorney for Applicants
Registration No. 35,239

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEET TRANSMITTAL for FY 2000		Complete If Known JUL 10 2000	
<small>Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12 and 12A. See 37 C.F.R. §§ 1.27 and 1.28.</small>		Application Number 09/518,722 Filing Date March 3, 2000 First Named Inventor Robert W. Cook Examiner Name (to be assigned) Group / Art Unit 2766 Attorney Docket Number 1744.0550001	
TOTAL AMOUNT OF PAYMENT		(\$ 1,726.00)	

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)					
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number 19-0036 Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.				3. ADDITIONAL FEES					
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17				Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)		
				Fee Description	Fee Paid				
				105	130	205	65	Surcharge - late filing fee or oath	130.00
				127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
				139	130	139	130	Non-English specification	
				147	2,520	147	2,520	For filing a request for reexamination	
				112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
				113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
				115	110	215	55	Extension for reply within first month	
				116	380	216	190	Extension for reply within second month	
				117	870	217	435	Extension for reply within third month	
				118	1,360	218	680	Extension for reply within fourth month	
				128	1,850	228	925	Extension for reply within fifth month	
				119	300	219	150	Notice of Appeal	
				120	300	220	150	Filing a brief in support of an appeal	
				121	260	221	130	Request for oral hearing	
				138	1,510	138	1,510	Petition to institute a public use proceeding	
				140	110	240	55	Petition to revive - unavoidable	
				141	1,210	241	605	Petition to revive - unintentional	
				142	1,210	242	605	Utility issue fee (or reissue)	
				143	430	243	215	Design issue fee	
				144	580	244	290	Plant issue fee	
				122	130	122	130	Petitions to the Commissioner	
				123	50	123	50	Petitions related to provisional applications	
				126	240	126	240	Submission of Information Disclosure Stmt	
				581	40	581	40	Recording each patent assignment per property (times number of properties)	
				146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	
				149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) :									
Other fee (specify) :									
*Reduced by Basic Filing Fee Paid									
				SUBTOTAL (3) (\$)					

SUBMITTED BY				Complete (if applicable)	
Type or Printed Name				Reg. Number	35,239
Signature	Date	7/10/2000	Deposit Acct. User ID		

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.